# **COMP3411: Artificial Intelligence First-Order Logic**

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#### **Propositional Logic**

- Propositions built from  $\wedge$ ,  $\vee$ ,  $\neg$ ,  $\rightarrow$
- ! Sound, complete and decidable proof systems (inference procedures)
	- **-** Resolution refutation
	- **Prolog for special case of definite clauses**
- **I** Limited expressive power
	- **Cannot express relations and ontologies**
- ! First-Order Logic can express knowledge about objects, properties and relationships between objects

# **Applications of Logic and Theorem Proving**

- ! Knowledge Representation of AI Systems
	- ' Declarative and Working Memory for an intelligent agent
	- $\blacktriangleright$ Answering questions about state of the world
- **E** Semantic Web
	- **Extension to World Wide Web**
	- $\blacktriangleright$ Makes information on the web machine interpretable
- ! Formal Verification
	- $\triangleright$  Used by chip designers to verify VLSI designs
	- ' Proof assistants used in software verification

#### **This Lecture**

- **First-Order Logic** 
	- ' Syntax
	- ' Semantics
- **E** Automated Reasoning
	- **Conjunctive Normal Form**
	- ' First-order resolution and unification
	- ' Soundness, completeness, decidability

#### **Syntax of First-Order Logic**

- ! Constant symbols: *a*, *b*, . . . , *Mary* (objects)
- $\blacksquare$  Variables: *x*, *y*, ...
- ! Functionsymbols: *f* , *mother\_of* , *sine*, . . .
- ! Predicate symbols: *Mother*, *likes*, . ..
- **Quantifiers:**  $\forall$  (universal);  $\exists$  (existential)

# **Language of First-Order Logic**

- ! Terms: constants, variables, functions applied to terms (refer to objects)
	- $\blacktriangleright$  e.g. *a*,  $f(a)$ , mother\_of(Mary), ...
- ! Atomic formulae: predicates applied to tuples of terms
	- ' e.g. *likes*(*Mary*, *mother\_of* (*Mary*)), *likes*(*x*, *a*)
- ! Quantified formulae:
	- $\blacktriangleright$  e.g.  $\forall x$  likes $(x, a)$ ,  $\exists x$  likes $(x, \text{mother\_of}(y))$
	- Second occurrences of *x* are bound by quantifier ( $\forall$  in first case,  $\exists$  in second) and *y* in the second formula is free

#### **Converting English into First-Order Logic**

- Everyone likes lying on the beach  $\forall x$  *likes lying* on *beach*(*x*)
- **E** Someone likes Fido  $\exists x \text{ } likes(x, \text{ } \text{Fido})$
- **No one likes Fido**  $\neg(\exists x \text{ likes}(x, \text{Fido}))$  (or  $\forall x \neg \text{likes}(x, \text{Fido}))$ )
- Fido doesn't like everyone  $\neg \forall x \; likes(Fido, x)$
- $\blacksquare$  All cats are mammals  $\forall x (cat(x) \rightarrow \text{mammal}(x))$
- Some mammals are carnivorous  $\exists x (mammal(x) \land carnivorous(x))$
- $\blacksquare$  Note:  $\forall x A(x) \Leftrightarrow \neg \exists x \neg A(x), \exists x A(x) \Leftrightarrow \neg \forall x \neg A(x)$

#### **Universal Quantifiers**

All men are mortal:  $\forall x$  (*man*(*x*)  $\rightarrow$  *mortal*(*x*))

 $\blacktriangleright$   $\forall x P$  is (almost) equivalent to the conjunction of instantiations of *P* 

$$
\blacksquare \forall x (man(x) \rightarrow mortal(x)) \Leftrightarrow
$$

 $(max(Alan) \rightarrow mortal(Alan))$ 

- $\Lambda$  (*man*(*Bill*)  $\rightarrow$  *mortal*(*Bill*))
- $\Lambda$  (*man*(*Colin*)  $\rightarrow$  *mortal*(*Colin*))

 $\wedge$  ...

... only if every object (not only man) in the domain has a name

# **Existential Quantifiers**

Some cats are immortal:  $\exists x (cat(x) \land \neg \text{mortal}(x))$ 

- $\exists x P$  is (almost) equivalent to the disjunction of instantiations of *P*
- $\exists x (cat(x) \land \neg mortal(x)) \Leftrightarrow$

 $(cat(Alan) \land \neg mortal(Alan))$ 

- $\vee$  (*cat*(*Bill*)  $\wedge \neg \text{mortal}(Bill)$ )
- $V$  (*cat*(*Colin*) $\land \neg \text{mortal}(Colin)$ )
- $V$  ...

... only if every object (not only cat) in the domain has a name

#### **Nested Quantifiers**

The order of quantification is very important

- Everything likes everything  $\forall x \forall y$  likes $(x, y)$  (or  $\forall y \forall x$  likes $(x, y)$ )
- **E** Something likes something  $\exists x \exists y \text{ } likes(x, y)$  (or  $\exists y \exists x \text{ } likes(x, y)$ )
- Everything likes something  $\forall x \exists y \text{ } likes(x, y)$
- **There is something liked by everything**  $\exists y \forall x \text{ } likes(x, y)$

#### **Defining Semantic Properties**

```
Brothers are siblings
```

```
\forall x \forall y (brother(x, y) \rightarrow sibling(x, y))
```

```
"Sibling" is symmetric
```

```
\forall x \forall y (sibling(x, y) \leftrightarrow sibling(y, x))
```
One's mother is one's female parent

```
\forall x \forall y (mother(x, y) \leftrightarrow (female(x) \land parent(x, y))
```
A first cousin is a child of a parent's sibling

 $\forall x \forall y (FirstCousin(x, y) \leftrightarrow \exists p \exists s \ parent(p, x) \land sibling(p, s) \land parent(s, y)$ 

#### **Scope Ambiguity**

- **Typical pattern for**  $\forall$  **and**  $\exists$ 
	- $\rightarrow \forall x (type\_of(x) \rightarrow predicate(x))$
	- $\blacktriangleright$   $\exists x (type\_of(x) \land predicate(x))$
- **Every student took an exam** 
	- $\rightarrow \forall x (student(x) \rightarrow \exists y (exam(y) \land took(x, y)))$
	- $\rightarrow \exists y (exam(y) \land \forall x (student(x) \rightarrow took(x, y)))$

#### **Scope of Quantifiers**

- ! The scope of a quantifier in a formula *A* is that subformula *B* of *A* of which that quantifier is the main logical operator
- ! Variables belong to the innermost quantifier that mentions them
- **Examples** 
	- $\rightarrow$   $Q(x) \rightarrow \forall y P(x, y)$  scope of  $\forall y$  is  $\forall y P(x, y)$
	- $\triangleright \forall z P(z) \rightarrow \neg Q(z)$  scope of  $\forall z$  is  $\forall z P(z)$  but not  $Q(z)$
	- $\blacktriangleright$   $\exists x (P(x) \rightarrow \forall x P(x))$
	- $\rightarrow \forall x (P(x) \rightarrow Q(x)) \rightarrow (\forall x P(x) \rightarrow \forall x Q(x))$

# **Semantics of First-Order Logic**

- ! An interpretation is required to give semantics to first-order logic.
	- ! An interpretation is a non-empty "domain of discourse" (set of objects). The truth of any formula depends on the interpretation.
- The interpretation defines, for each



**Then by definition** 

**universal quantifier**  $\forall x P(x)$  is True iff  $P(a)$  is True for all assignments of domain elements *a* to *x*

**existential quantifier** ∃x P(x) is True iff P(a) is True for at least one assignment of domain element a to x

#### **Resolution for First-Order Logic (Alan Robinson, 1965)**

- **Based on resolution for Propositional Logic**
- ! Extended syntax: allow variables and quantifiers
- ! Define "clausal form" for first-order logic formulae
- **Eliminate quantifiers from clausal forms**
- ! Adapt resolution procedure to cope with variables (unification)

# **Conversion to Conjunctive Normal Form**

- 1. Eliminate implications and bi-implications as in propositional case
- 2. Move negations inward using De Morgan's laws
	- $\blacktriangleright$  plus rewriting  $\neg \forall x P$  as  $\exists x \neg P$  and  $\neg \exists x P$  as  $\forall x \neg P$
- 3. Eliminate double negations
- 4. Rename bound variables if necessary so each only occurs once
	- $\triangleright$  e.g.  $\forall x P(x) \lor \exists x Q(x)$  becomes  $\forall x P(x) \lor \exists y Q(y)$
- 5. Use equivalences to move quantifiers to the left
	- $\triangleright$  e.g.  $\forall x P(x) \land Q$  becomes  $\forall x (P(x) \land Q)$  where *x* is not in *Q*
	- $\triangleright$  e.g.  $\forall x P(x) \land \exists y Q(y)$  becomes  $\forall x \exists y (P(x) \land Q(y))$

# **Conversion to CNF – Continued**

- 6. Skolemise (replace each existentially quantified variable by a new term)
	- $\blacktriangleright$   $\exists x P(x)$  becomes  $P(a_0)$  using a Skolem constant  $a_0$  since  $\exists x$  occurs at the outermost level
		- $\blacktriangleright$  Any constant can represent the *x*, since it must be unique
	- $\triangleright$   $\forall x \exists y P(x, y)$  becomes  $P(x, f_0(x))$  using a Skolem function  $f_0$  since  $\exists y$  occurs within  $\forall x$ 
		- $\blacktriangleright$  *Y* may depend on the choice of *x*, so *y* is a function of *x*
- 7. The formula now has only universal quantifiers and all are at the left of the formula: drop them
- 8. Use distribution laws to get CNF and then clausal form

**PCNF: Example 1**<br>Remember:  $\forall x P(x) \Leftrightarrow \neg \exists x \neg P(x), \exists x P(x) \Leftrightarrow \neg \forall x \neg P(x)$ 

 $\forall x \left[ \forall y P(x, y) \rightarrow \neg \forall y (Q(x, y) \rightarrow R(x, y)) \right]$ 1.  $\forall x [\neg \forall y P(x, y) \lor \neg \forall y (\neg Q(x, y) \lor R(x, y))]$  Eliminate Implication 2, 3.  $\forall x$ [ $\exists y \neg P(x, y) \lor \exists y (Q(x, y) \land \neg R(x, y))$ ] Move negation inward 4.  $\forall x[\exists y \neg P(x, y) \lor \exists z (Q(x, z) \land \neg R(x, z))]$  Rename variables 5.  $\forall x \exists y \exists z [\neg P(x, y) \lor (Q(x, z) \land \neg R(x, z))]$  Move quantifiers left 6.  $\forall x [\neg P(x, f(x)) \lor (Q(x, g(x)) \land \neg R(x, g(x)))$  Replace ∃ vars by Skolem fns 7.  $\neg P(x, f(x)) \vee (Q(x, g(x)) \wedge \neg R(x, g(x)))$  Drop  $\forall$ 8.  $(\neg P(x, f(x)) \vee Q(x, g(x))) \wedge (\neg P(x, f(x)) \vee \neg R(x, g(x)))$  Distribution laws to get CNF 8.  $\{\neg P(x, f(x)) \vee Q(x, g(x)), \neg P(x, f(x)) \vee \neg R(x, g(x))\}$  Clausal form

#### **CNF: Example 2**

 $\neg \exists x \forall y \forall z ((P(y) \vee Q(z)) \rightarrow (P(x) \vee Q(x)))$ 1.  $\neg \exists x \forall y \forall z (\neg (P(y) \lor Q(z)) \lor P(x) \lor Q(x))$  Eliminate Implication 2.  $\forall x \neg \forall y \forall z (\neg (P(y) \lor Q(z)) \lor P(x) \lor Q(x))$  Move negation inward 2.  $\forall x \exists y \neg \forall z (\neg (P(y) \lor Q(z)) \lor P(x) \lor Q(x))$  Move negation inward 2.  $\forall x \exists y \exists z \neg(\neg(P(y) \lor Q(z)) \lor P(x) \lor Q(x))$  Move negation inward 2.  $\forall x \exists y \exists z ((P(y) \vee Q(z)) \wedge \neg (P(x) \vee Q(x)))$  Move negation inward 6.  $\forall$ x ((P(f(x)) ∨ Q(g(x))) ∧  $\neg$ P(x) ∧  $\neg$ Q(x)) Replace ∃ vars by Skolem fns 7.  $(P(f(x)) \vee Q(g(x)) \wedge \neg P(x) \wedge \neg Q(x)$  Drop  $\forall$ 8.  $\{P(f(x)) \vee Q(g(x)), \neg P(x), \neg Q(x)\}$  Clausal form

# **Unification**

- ! A unifier of two atomic formulae is a substitution of terms **for variables** that makes them identical
	- ' Each variable has at most one associated term
	- $\blacktriangleright$ Substitutions are applied simultaneously
- Unifier of  $P(x, f(a), z)$  and  $P(z, z, u)$ :  $\{x \mid f(a), z \mid f(a), u \mid f(a)\}$
- **E** Substitution  $\sigma_1$  is a more general unifier than a substitution  $\sigma_2$  if for some substitution  $\tau$ ,

 $\sigma_2 = \sigma_1 \tau$  (i.e.  $\sigma_1$  followed by  $\tau$ )

! **Theorem**. If two atomic formulae are unifiable, they have a *most general unifier* (mgu).

#### **Examples**

- $P(x, a), P(b, c)$  is not unifiable (where a, b, c are constants)
- $\blacksquare$  {*P*(*f*(*x*), *y*), *P*(*a*, *w*)} is not unifiable
- $\blacksquare$  {*P*(*x*, *c*), *P*(*b*, *c*)} is unifiable by {*x*/*b*}
- $\blacksquare$  {*P*(*f*(*x*), *y*), *P*(*f*(*a*), *w*)} is unifiable by  $\sigma = \{x/a, y/w\}, \tau = \{x/a, y/a, w/a\}, \nu = \{x/a, y/b, w/b\}$ Note that  $\sigma$  is an *mgu* and  $\tau = \sigma \theta$  where  $\theta = ...$ ?
- $P(x)$ ,  $P(f(x))$  is not unifiable (circular reference requires occurs check)

# **Unification Algorithm**

**return** SUBST

**Unify(Ψ1, Ψ2): // returns substitution or Failure if**  $\Psi_1$  or  $\Psi_2$  is a variable or constant, **then**: **if**  $\Psi_1$  or  $\Psi_2$  are identical, then **return NIL** // unification succeeds but no substitution needed **else if**  $\Psi$ <sub>1</sub> is a variable **then if**  $\Psi_1$  occurs in  $\Psi_2$ , **then return Failure else return**  $\{\Psi_1 / \Psi_2\}$ **else** if  $\Psi_2$  is a variable **if**  $\Psi_2$  occurs in  $\Psi_1$  **then** return **Failure else return**  $\{\Psi_2 / \Psi_1\}$ **else return Failure if** the predicate symbols of  $\Psi_1$  and  $\Psi_2$  are not same **then return Failure if**  $\Psi_1$  and  $\Psi_2$  have a different number of arguments **then return Failure** Set Substitution, SUBST to **NIL for** i=1 to the number of elements in  $\Psi_1$ .  $S = \text{Unify}(\Psi_1[i], \Psi_2[i])$ **if** S = **Failure then return Failure if**  $S \neq \text{NII}$  **then** Apply S to the remainder of both  $\Psi_1$  and  $\Psi_2$ SUBST= APPEND(S, SUBST).

# **Unification Algorithm (alternative)**

- ! The disagreement set of *S*: Find the leftmost position at which not all members *E* of *S* have the same symbol.
	- ! The set of subexpressions of each *E* in *S* that begin at this position is the disagreement set of *S*.
- **Algorithm**

1.  $S_0 = S$ ,  $\sigma_0 = \{\}, i = 0$ 

- 2. If  $S_i$  is not a singleton find its disagreement set  $D_i$ , otherwise terminate with  $\sigma_i$  as the most general unifier
- 3. If  $D_i$  contains a variable  $v_i$  and term  $t_i$  such that  $v_i$  does not occur in  $t_i$  then

$$
\sigma_{i+1} = \sigma_i \{v_i/t_i\}, \qquad S_{i+1} = S_i \{v_i/t_i\}
$$

otherwise terminate as  $S$  is not unifiable

4.  $i = i + 1$ ; resume from step 2

# **Examples**

$$
S = \{f(x, g(x)), f(h(y), g(h(z)))\}
$$
  
\n
$$
D_0 = \{x, h(y)\} \text{ so } \sigma_1 = \{x/h(y)\}
$$
  
\n
$$
S_1 = \{f(h(y), g(h(y))), f(h(y), g(h(z)))\}
$$
  
\n
$$
D_1 = \{y, z\} \text{ so } \sigma_2 = \{x/h(z), y/z\}
$$
  
\n
$$
S_2 = \{f(h(z), g(h(z))), f(h(z), g(h(z)))\}
$$
  
\ni.e.  $\sigma_2$  is an mgu

 $S = \{f(h(x), g(x)), f(g(x), h(x))\}$  (*does an mgu exist for these?*)



where *B*, *B'* are positive literals,  $A_i$ ,  $C_j$  are literals,  $\theta$  is an mgu of *B* and *B'* 

- *B* and  $\neg B'$  are complementary literals
- $\blacksquare$   $(A_1 \vee \cdots \vee A_m \vee C_1 \vee \cdots \vee C_n) \Theta$  is the resolvent of the two clauses
- **E** Special case: If no  $A_i$  and  $C_j$ , resolvent is empty clause, denoted  $\Box$ , or  $\bot$

# **Applying Resolution Refutation**

- ! Negate query to be proven (resolution is a refutation system)
- ! Convert knowledge base and negated query into CNF
- ! Repeatedly apply resolution to clauses or copies of clauses until either the empty clause (contradiction) is derived or no more clauses can be derived (a copy of a clause is the clause with all variables renamed)
- ! If the empty clause is derived, answer 'true' (query follows from knowledge base), otherwise answer 'false' (query does not follow from knowledge base) ... and if there are an infinite number of clauses that can be derived, don't answer at all

#### **Resolution: Example 1**

 $\vdash \exists x (P(x) \rightarrow \forall x P(x))$ 



*1.*  $P(x)$  [ $\neg$  Query]

2.  $\neg P(f(y))$  [Copy of  $\neg$  Query, renaming variables]

3.  $\Box$  [1, 2 Resolution  $\{x/f(y)\}\$ ]

# **Resolution: Example 2**

$$
\vdash \exists x \forall y \forall z ((P(y) \lor Q(z)) \rightarrow (P(x) \lor Q(x)))
$$
  
CNF 
$$
\equiv \{ P(f(x)) \lor Q(g(x)), \neg P(x), \neg Q(x) \}
$$



#### **Soundness and Completeness**

For First-Order Logic

- ! Resolution refutation is sound, i.e. it preserves truth (if a set of premises are all true, any conclusion drawn from those premisesmust also be true)
- ! Resolution refutation is complete, i.e. it is capable of proving all consequences of any knowledge base (not shown here!)
- ! Resolution refutation is not decidable, i.e. there is no algorithm implementing resolution which when asked whether  $S \vdash P$ , can always answer 'true' or 'false' (correctly)

# **Undecidability of First-Order Logic**

- $KB = {P(f(x)) \to P(x)}$
- $Q = P(a)?$
- **Obviously**  $KB \models Q$
- ! However, now try to show this using resolution



# **Undecidability of First-Order Logic**

- ! Can we determine in general when this problem will arise? **No!**
- **There is no general procedure if** (KB unsatisfiable) **return** true **else return** false
- ! Resolution refutation is complete so if *KB* is unsatisfiable, the search tree will contain the empty clause somewhere
- **...** but if the search tree does not contain the empty clause the search may go on forever
- ! Even in the propositional case (which is decidable), complexity of resolution is *O*(2*n*) for problems of size *n*

#### **Horn Clauses**

Use less expressive language

- **Review** 
	- ' literal atomic formula or negation of atomic formula
	- $\triangleright$  clause disjunction of literals
- **Definite Clause exactly one positive literal** 
	- $\blacktriangleright$  e.g.  $B \vee \neg A_1 \vee \dots \vee \neg A_n$ , i.e.  $B \leftarrow A_1 \wedge \dots \wedge A_n$
- **In Negative Clause no positive literals** 
	- $\blacktriangleright$  e.g.  $\neg Q_1 \vee \neg Q_2$  (negation of a query)
- ! Horn Clause clause with at most one positive literal

# **SLD Resolution —** 1*SLD*

- Selected literals Linear form Definite clauses resolution
- ! SLD refutation of a clause *C* from a set of clauses *KB* is a sequence
	- 1. First clause of sequence is *C*
	- 2. Each intermediate clause  $C_i$  is derived by resolving the previous clause  $C_{i-1}$  and a clause from *KB*
	- 3. The last clause in the sequence is  $\Box$
- **Theorem.** For a definite *KB* and negative clause query *Q*:  $KB \cup Q \vdash \Box$ if and only if  $KB \cup Q \vdash SLD \Box$



# **Prolog**

- **IDED** Horn clauses in First-Order Logic
- **SLD** resolution
- **Depth-first search strategy with backtracking**
- **User control** 
	- ' Ordering of clauses in Prolog database (facts and rules)
	- Ordering of subgoals in body of a rule
- **Prolog is a programming language based on resolution refutation** relying on the programmer to exploit search control rules

#### **Prolog Example**

```
p(X) := q(X), r(X, Z), s(f(Z)).q(X) := r(X, Y), u(X).s(f(X)) := v(X).
r(a, b).
u(a). 
v(b).
? - p(X)X = a
```
#### **Prolog Example**

```
p(X1) :- q(X1), r(X1, Z), s(f(Z)).
q(X2) :- r(X2, Y), u(X2).
s(f(X3)) :- v(X3).
```
 $r(a, b)$ . u(a).  $v(b)$ .

 $? - p(X0)$  $X0 = a$ 



# **Prolog Interpreter**

Input: A query *Q* and a logic program *KB* Output: 'true' if *Q* follows from *KB*, 'false' otherwise

Initialise current goal set to  $\{Q\}$ 

**while** the current goal set is not empty do

Choose *G* from the current goal set (first in goal set)

Choose a copy  $G': B_1, \ldots, B_n$  of a rule from *KB* for which most general unifier of

*G*, *G'* is  $\theta$  (try all in KB)

(if no such rule, undo unifications and try alternative rules) Apply  $\theta$  to the current goal set

Replace  $G\theta$  by  $B_1\theta$ ,...,  $B_n\theta$  in current goal set

**if** current goal set is empty

output true

**else** output false

Depth-first, left-right with backtracking

Inefficient and not how a real Prolog interpreter works

# **Negation as Failure**

- **Prolog does not implement classical negation**
- Prolog  $\vdash$  is known as negation as failure
- *KB*  $\vdash \forall$  + *G KB* cannot prove *G*
- *KB*  $\vdash \neg G$  can prove  $\neg G$
- ! Negation as failure is finite failure

# **Soundness and Completeness Again**

- ! Prolog including negation as failure is not sound, i.e. it does not preserve truth
- ! Pure Prolog (without negation as failure) is not complete, i.e. it is incapable of proving all consequences of any knowledge base (this is because of the search order)
- ! Even pure Prolog is not decidable, i.e. the Prolog implementation of resolution when asked whether  $KB \vdash Q$ , can not always answer 'true' or 'false' (correctly)

# **Conclusion**

- ! First-order logic can express objects, properties of objects and relationships between objects, and allows quantification over variables
- **Exercise** First-order logic is highly expressive
- Resolution refutation is sound and complete, but not decidable
- **Prolog is more programming language than theorem prover**
- **Gödel's incompleteness theorem** 
	- ' Any first-order logic system with Peano's axioms for arithmetic cannot be both consistent and prove all true statements (where statements are encoded using numbers)